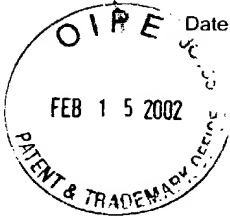


Sector \$  
#7

I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D C. 20231, on the date shown below.



Date: January 18, 2002

By:

Carol A. See

COPY OF PAPERS  
ORIGINALLY FILEDPATENT  
Docket No. GC636-2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   |   |                      |
|---|---|----------------------|
| In re Application of                      | ) |                      |
| Marc Kolkman                              | ) | Group Art Unit: 1641 |
| Serial No.: 09/975,132                    | ) | Examiner: Unassigned |
| Filed: October 9, 2001                    | ) |                      |
| For: Enhanced Secretion of a Polypeptide) | ) |                      |
| by a Microorganism                        | ) |                      |

**TRANSMITTAL LETTER FOR NOTICE TO FILE**  
**MISSING PARTS OF NONPROVISIONAL APPLICATION**

BOX MISSING PARTS  
 Commissioner for Patents  
 Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application dated November 19, 2001, enclosed please find:

- ☒ [X] a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ [ ] Petition for a \_\_\_\_\_ Month Extension of Time;
- ☒ [X] Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee;
- ☐ [ ] Revocation & New Power of Attorney;
- ☒ [X] Preliminary Amendment
- ☒ [X] Statement of Sameness
- ☒ [X] Paper copy of Sequence Listing (8 pages)

DISK TO STIC

DATE:

FEB 15 2002

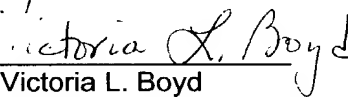
US Serial No. 09/975,132  
Page 2

- ☒ Diskette copy of Sequence Listing
- ☐ Request for Use of an Identical Computer Readable Form in Another Application Under 37 CFR § 1.821(e);
- ☐ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and
- ☒ Other: Substitute Formal Drawings (5 sheets)
- ☒ Charge \$130.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC636-2). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: January 18, 2002

  
Victoria L. Boyd  
Registration No. 43,510

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94304  
Tel: 650-846-7615  
Fax: 650-845-6504



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/975,132         | 10/09/2001          | Marc Kolkman          | GC636                  |

CONFIRMATION NO. 1616

## FORMALITIES LETTER



\*OC000000007089779\*

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94034-1013

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 11/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/22/2002 BSAYAS11 00000047 071048 09975132

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18220 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable

form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*




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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE